

121003

16638 U.S. PTO 10732777

Case No. 78323CIP1 (P1261G US CIP)

COMMISSIONER FOR PATENTS
PO BOX 1450
ARLINGTON, VA 22313-1450

I HEREBY CERTIFY THIS PAPER OR FEE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS ADDRESSED TO: MAIL STOP PATENT APPLICATION, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450.

EXPRESS MAIL NO.: EL 964278794 US

DATE OF DEPOSIT: December 10, 2003

NAME: KRISTEN FERGUSON

SIGNATURE: *Kristen Ferguson*

Transmitted herewith for filing is the patent application of:

Inventors: Gottfried Rainer DOHLE, Vincent V. WONG

For: IMPROVED CONTACT STRUCTURE FOR A SEMICONDUCTOR COMPONENT

Please note the following:

☒ Patent Application: 13 pages, 8 claims.
☒ 3 sheets of drawings.
☒ The suggested drawing figure to be published is FIG. 2
☒ A declaration and power of attorney.
☒ Citation Under 37 CFR 1.97 and PTO-1449.
☒ Assignee info:

Name: JDS Uniphase Corporation
Address: 1768 Automation Parkway
San Jose, CA 95131

State of Incorporation: Delaware

☒ Petition To File An Application For Patent By Other Than All Inventors Under CFR 1.47(a).
☒ Statement Of Pertinent Facts In Support Of Petition With Exhibits A, B and C.
☒ Applicant claims priority benefit to the following U.S. application(s):
Application No.: 09/507,598
Filing Date: 02/18/00

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 385	<u>OR</u>		\$ 770
TOTAL CLAIMS	8	-20			X 9	\$	<u>OR</u>	X 18	\$
INDEP CLAIMS	1	- 3			X 43	\$	<u>OR</u>	X 86	\$
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$		TOTAL	\$ 770

- ☒ Authorization is given to charge the Filing Fee in the amount of \$770.00 to Deposit Account 50-1465.
- ☒ Authorization is given to charge the Assignment Recordal Fee in the amount of \$40.00 to Deposit Account 50-1465.
- ☒ The Commissioner is authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 50-1465.
- ☒ PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD:
CHARLES E. WANDS

☒ Please associate this application with Customer No. 27975.

Telephone: (321) 725-4760

December 10, 2003
Date

 27975
PATENT-TRADEMARK OFFICE

Charles E. Wands
CHARLES E. WANDS
Reg. No. 25,649

22387 U.S. PTO
10732777
121003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Dohle et al.

Our File: 78323CIP1 (P1261G US CIP)

Serial No: Not Yet Assigned

Group: Not Yet Assigned

Filed: Herewith

Examiner: Not Yet Assigned

Title: IMPROVED CONTACT STRUCTURE FOR A SEMICONDUCTOR
COMPONENT

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Petition To File An Application For Patent By Other Than All Inventors
CER L47(a)

Applicant hereby petitions to file this application in the name of **Vincent V. Wong**. A further inventor, **Gottfried Rainer Dohle**, whose last known full postal address is **1233 Pedro Street, Apt. 64, San Jose, California, 95126, United States of America**, also participated in the development of this invention. After due diligent effort, this inventor cannot be reached, and a petition is hereby made to proceed with this application without a signed oath or declaration from that inventor. Supporting pertinent facts are attached hereto in support of every reasonable effort having been made to contact this inventor.

An Assignment, in favour of JDS Uniphase Corporation, together with an application for patent including a Declaration, specification and drawings are included with this petition.

The undersigned, hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12/15/2003 WABDELRI 00000035 501465 10732777

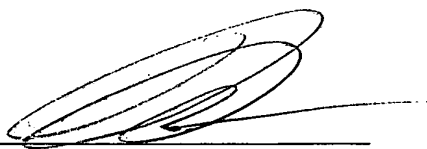
01 FC:1460

130.00 DA

Please charge the required fee of \$130.00 US to Deposit Account No: 50-1465.

**The Commissioner is hereby authorized to charge any fees which may be required,
or credit any overpayment, to Deposit Account No: 50-1465.**

Respectfully submitted,



Mr. Charles Wands
No: 25,649

DEC 10 2003

Date:

Allen Dyer, Doppelt, Milbrath & Gilchrist, P.A.
1401 Citrus Center
255 South Orange Avenue
Box 3791
Orlando, Florida
USA 32802-3791

/mdb

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Dohle et al. Our File: 78323CIP1 (P1261G US CIP)

Serial No: Not Yet Assigned Group: Not Yet Assigned

Filed: Herewith Examiner: Not Yet Assigned

Title: IMPROVED CONTACT STRUCTURE FOR A SEMICONDUCTOR
COMPONENT

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

STATEMENT OF PERTINENT FACTS IN SUPPORT OF PETITION

1. THE INVENTOR, **Gottfried Rainer Dohle**, was employed by SDL, Inc., now JDS Uniphase Corporation, during the course of his/her work. He/she and the co-inventors of the present application participated in the invention disclosed and claimed therein.
2. THE INVENTOR participated in the drafting and filing of the parent patent application from which the present continuation-in-part application claims priority.
3. THE INVENTOR no longer works at JDS Uniphase Corporation.
4. All reasonable and diligent efforts have been made to contact this inventor in an effort to obtain his Oath or Declaration.

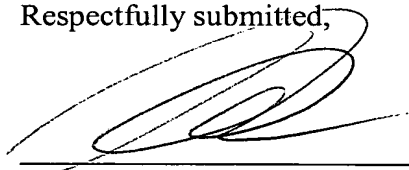
Exhibit A: E-mail from JDS Uniphase Corporation's Human Resources Department dated December 13, 2002, providing, from their records, the address for Mr. Gottfried Rainer Dohle, of 1233 Pedro Street, Apt 64, San Jose, California, 95126, United States of America.

Exhibit B: E-mail correspondence with the co-inventor, Mr. Wong, dated October 30, 2003, to determine if he was still in contact with Mr. Dohle. The reply indicates that he has not maintained contact with Mr. Dohle.

Exhibit C: A letter was mailed to Mr. Dohle at 1233 Pedro Street, Apt 64, San Jose, California, 95126, United States of America, with the Assignment, Declaration and a copy of the specification and drawings. The letter was returned as undeliverable by the US Post Office as per the Return to Sender stamp on the envelope.

Exhibit D: A search on Yahoo! People Search to try and locate a current address for Mr. Dohle located a "Rainer Dohle". Telephone calls placed to the number indicated, (408) 271-9259, on December 1/03, December 3/03 and December 8/03, to determine if this is the same Rainer Dole who worked for JDS Uniphase Corporation, have been unsuccessful. The calls were unanswered and there was no answering machine to leave a message.

Respectfully submitted,



Mr. Charles Wands
No: 25,649

DEC 10 2003

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